

**PROFESSOR:** All right, I would like to then get back to a discussion of some of the basic relations that we have been discussing. We didn't get terribly far, but I'd like to start with the Cartesian coordinate system that we set up. Rather than using  $x$ ,  $y$ , and  $z$ , I'm labeling the axes  $x_1$ ,  $x_2$ , and  $x_3$ . And we'll see that the subscripts play a very useful role in the formalism we're about to develop.

Now, the first thing we might want to specify in this coordinate is the orientation of a vector and its components. So let's suppose that this is some vector  $P$ . And what I will do to define its orientation is to use the three angles that the vector makes, or the direction makes with respect to  $x_1$ ,  $x_2$ ,  $x_3$ . And we could define these angles as  $\theta_1$ , that's the angle between the direction and  $x_1$ ,  $\theta_2$ , the angle between our direction or our vector and  $x_2$ , and finally, not surprisingly, I'll call this one  $\theta_3$ .

So the three components of the vector could be written as  $P_1$ , the component along  $x_1$  is going to be the magnitude of  $P$  times the cosine of  $\theta_1$ . The  $x_2$  component of  $P$  would be the magnitude of  $P$  times the cosine of  $\theta_2$ . And  $P_3$ , the third component, would be the magnitude of  $P$  times the cosine of  $\theta_3$ .

Now, we will have so many relations that involve the cosine of the angle between a direction and one of our reference axes that it is convenient to define a special term for the cosines of these angles. So I'll define this as magnitude of  $P$  times the quantity  $l_1$ , magnitude of  $P$  times  $l_2$ , magnitude of  $P$  times  $l_3$ , which is a lot easier to write. And we will define these things as the direction cosines.

With these equations it's easy to attach some meaning to the direction cosines. Suppose we had a vector of magnitude 1, something that we will refer to as a unit vector. And if we put in magnitude of  $P$  equal to 1, it follows that  $l_1$ ,  $l_2$ ,  $l_3$  are simply the components of a unit vector in a particular direction along, obviously,  $x_1$ ,  $x_2$ , and  $x_3$ , respectively. Trivial piece of algebra, but it attaches a physical and geometric significance to the direction cosines.

Now, the vector is something that could represent a physical quantity. In any case, it

is something that is absolute. And it sits embedded majestically, relative to some absolute coordinate system. The magnitudes of the components  $P_1$ ,  $P_2$ , and  $P_3$  will change their values if we would decide to change the coordinate system that we're using as our reference system.

So the next question we might ask is, suppose we change the coordinate system to some new values,  $x_1$  prime,  $x_2$  prime, and  $x_3$  prime? And I'll illustrate my point with just a two dimensional analog of this. This is  $x_1$ , and this is  $x_2$ . And this is my vector  $P$ .

And I change  $x_1$  to some new value,  $x_1$  prime, and change  $x_2$  to some new orientation,  $x_2$  prime. Then clearly the component of  $P$  on  $x_1$  has changed its numerical value if I refer it to  $x_1$  prime instead. And similarly, this value would be the component  $P_2$ . If I change the direction of  $x_2$  and draw a perpendicular to  $x_2$ , this would be  $P_2$  prime. So if I change coordinate system along the fashion I suggested, the three components of a vector,  $P_1$ ,  $P_2$ ,  $P_3$ , are going to change to some new values,  $P_1$  prime,  $P_2$  prime,  $P_3$  prime.

OK. So the question I'd like to address next is given the change of coordinate system, and given the three components of  $P$  in the original coordinate system, how do I compute the values of the new components  $P_1$  prime,  $P_2$  prime,  $P_3$  prime? I'll say it in words, and then we'll define a mechanism for specifying the change in coordinate system.

What I'll say is-- and this was apparent in the sketch that I just erased-- the new component of the vector  $P_1$  prime is simply going to be the sum of the components of  $P_1$ ,  $P_2$ , and  $P_3$  along the new  $x$  prime direction. So I'm saying that this is going to be the sum of the component of  $P_1$  along  $x_1$  plus the component of  $P_2$  along  $x_1$  prime and the component of  $P_3$  along  $x_1$  prime. So in short, I'm doing nothing more complicated than saying, I can get the values of the new components if I take the vector  $P$ , split it up into its three parts, and then find the component of each of these three parts along the  $x_1$  prime axis, then do the same thing for the  $x_2$  prime axis, and then the same thing for  $x_3$  prime.

So I'm going to need, now, a notation for a change in a three-dimensional Cartesian coordinate system. So here is  $x_1$ , here is  $x_2$ , and here is  $x_3$ . And I will change them. And again, I'm always keeping the coordinate system Cartesian. So here's an  $x_1$  prime, here's an  $x_2$  prime, and then  $x_3$  prime will point out in some direction like this. I don't want a prime on that.

So I'm going to say now that the component of  $P$  along the new  $x_1$  prime is going to be the magnitude of  $P_1$  times the cosine of the angle between  $x_1$  and  $x_1$  prime, plus  $P_2$  times the cosine of the angle between  $x_1$  and-- am I doing this right?  $P_1$  onto  $x_1$  prime. And I want  $P_2$  onto  $x_1$  prime. So this is going to be the angle between  $x_2$  and  $x_1$  prime plus  $P_3$  times the cosine of the angle between  $x_3$  and  $x_1$  prime.

Well, we used  $C$ 's or  $l$  earlier on to represent a direction cosine. Let me define  $C_{ij}$  as the cosine of the angle between  $x_1$  prime,  $x_i$  prime, and  $x_j$ . So that means I can write this expression here in this nice compact form. With our definition of direction cosines, I can say that  $P_1$  prime is going to be equal to  $C_{11}$  times  $P_1$  plus  $C_{12}$  times  $P_2$  plus  $C_{13}$  times  $P_3$ .

Can write that  $P_2$  prime in the same way. It's going to be the cosine of the angle between  $P_2$  prime and  $P_1$  and  $x_1$ , plus the cosine of the angle between  $x_2$  prime and  $x_2$  times  $P_2$  plus  $C_{23}$  which is the cosine of the angle between  $x_2$  prime and  $x_3$ , times the component  $P_3$ . And in a very similar fashion,  $P_3$  prime will be  $C_{31}$   $P_1$  plus  $C_{32}$  times  $P_2$  plus  $C_{33}$  times  $P_3$ . So here is the way a vector will transform.

And we can write this compactly in matrix form. We can say that  $P_{i \text{ prime}}$ , where this is a column matrix, one by three, is going to be equal to  $C_{ij}$ , a three by three matrix times the original components of the vector  $P_{\text{sub } j}$ . And just to cement the notation that we're using, if I put my old axes up here,  $x_1$ ,  $x_2$ ,  $x_3$ , and put the new axes,  $x_1$  prime,  $x_2$  prime,  $x_3$  prime, down this way, then the cosine of the angle between the quantities that are in this column and the quantities that are in this row would be  $C_{11}$ ,  $C_{12}$ ,  $C_{13}$ ,  $C_{21}$ ,  $C_{22}$ ,  $C_{23}$ ,  $C_{31}$ ,  $C_{32}$ ,  $C_{33}$ . Nothing fancy except the notation. It's the description of some very simple geometry. This array,  $C_{ij}$ , is something that I will refer to as a direction cosine scheme.

Let me pause here and see if that's all sunk in, whether you have any questions on this. One of the nasty properties of what we're going to be doing for the next month or so is that the notions are really very, very simple, but the notation is horribly cumbersome and complex. So it takes a bit of getting used to in application to actual real cases before you feel fully at home with it. OK. Just a matter of definition so far.

Let me note that this direction cosine array is going to be useful for defining how a vector changes as we go from the original coordinate system to a new coordinate system. But this direction cosine array will also tell us how the axes in one coordinate system are related to the axes in the new coordinate system. It follows from the fact that the axes themselves can be regarded as unit vectors. And we said that the components of a unit vector are the direction cosines of that vector, relative to a coordinate system.

So let's ask, what are the new components of  $x_1$  in terms of the original axes unprimed. Well,  $x_1$  prime is going to be the unit vector  $x_1$  times the cosine of the angle between  $x_1$  and  $x_1$  prime, plus the unit vector along  $x_2$  prime times the cosine of the angle between  $x_1$  prime, and  $x_2$ . And that's  $C_{12}$ . Plus  $x_3$  regarded as a unit vector times the cosine of the angle between  $x_1$  prime and  $x_3$ . So we can actually write an equation for unit vectors along each of our new axes. And they will go as  $C_{11}$  times  $x_1$ ,  $C_{12}$  times  $x_2$ ,  $C_{13}$  times  $x_3$ , plus  $C_{22}$  times  $x_2$  plus  $C_{23}$  times  $x_3$  prime. And  $x_3$  prime will be  $C_{31}$   $x_1$  plus  $C_{32}$   $x_2$  plus  $C_{33}$   $x_3$ . So this, then, is an equation between the unit vectors along the three reference axes in the new coordinate system relative to those in the original coordinate system. And the direction cosine scheme does the job.

OK. We could, using the same argument, give the array that specifies the reverse transformation. If we would change our mind, for example, and say we don't like what we've done, let's write the original coordinate system  $x_1$ ,  $x_2$ , and  $x_3$  in terms of the unit vectors along the new axes. And we can use exactly the same array.

We can say that the original  $x_1$ , in terms of the three new axes,  $x_1$  prime,  $x_2$  prime, and  $x_3$  prime, is going to involve the cosine of the angle between  $x_1$  prime and  $x_1$ ,

and that is  $C_{11}$ , plus the cosine of the angle between  $x_2$  prime and  $x_1$ , and that's  $C_{21}$ , plus the cosine of the angle between  $x_3$  prime and  $x_1$ , and that's  $C_{31}$ . If we continue on this, if you have the idea, the angle  $x_2$  is going to be given in terms of  $x_1$  prime,  $x_2$  prime, and  $x_3$  prime, as the cosine of the angle between  $x_2$  and  $x_1$  prime, and that is  $C_{12}$ . Here we want the cosine of the angle between  $x_2$  and  $x_2$  prime, and here the cosine of the angle between  $x_3$  prime and  $x_2$ .

And you can see the way this is playing out.  $C_{13}$  times  $x_1$  plus  $C_{23}$ ,  $x_2$  prime plus  $C_{33}$  times  $x_3$  prime. So there's the reverse transformation, using the same array of coefficients as we did the first time. So it turns out if we write this symbolically in a compact form,  $x_i$  prime is given by  $C_{ij} x_j$ . And the reverse transformation using the same direction cosine says that  $x_i$  is going to be  $C_{ji}$  times  $x_j$  prime. In other words, the reverse transformation, let's write it as  $C_{ij}^{-1}$ , the inverse transformation, turns out to be simply  $C_{ji}$ .

And that, in matrix algebra, is written as the transpose of the original array of coefficients. And transpose is either given by a squiggle, a tilde on top of the matrix. Some people like to use a superscript T. But we'll use this particular notation. But you can see either notation used to indicate the transpose.

The array  $C_{ij}$ , which has this property, and it also has another property which I won't bother to prove, but the determinant of  $C_{ij}$  is equal to 1. And this is something called a unitary matrix. Unitary matrix has the property that the inverse matrix is the transpose. We will very, very shortly start writing down numbers for some specific transformations. And then I think that will give us a little facility in doing these manipulations.

Comments or questions? Is this old stuff or old stuff for which the notation is still confusing? All right. Let me point out something that is perhaps apparent to you. And that is that not all nine of these numbers are independent. There are relations between them. And let's point out some of these relations.  $C_{11}$ ,  $C_{12}$ ,  $C_{13}$  represent the components of a unit vector along  $x_1$  prime, in the original coordinate system of the elements in any row is equal to 1. Because these are the components

of a unit vector. And the magnitude of a unit vector is 1.

In the same way, if we look at any column of terms in this matrix, for example,  $C_{11}$ ,  $C_{21}$ ,  $C_{31}$ , these are terms that represent the cosine of angles between  $x_1$  in the original coordinate system and  $x_1'$ ,  $x_2'$ ,  $x_3'$ , our new coordinate system. So this gives us the magnitude of  $x_1$ , but  $x_1$  is a unit vector. So it follows that the sum of the column  $C_{11}^2$  plus  $C_{21}^2$  plus  $C_{31}^2$  also has to be unity, because that gives us the magnitude of a unit vector along  $x_1$ . So the sum of the squares of elements in any column of the direction cosine is unity.

These expressions are useful. But they have one ambiguity. That is the cosine of an angle can be either positive or negative, depending on whether the angle is less than 90 degrees or greater than 90 degrees. These relations involve the squares of direction cosines, and therefore we can't tell whether the direction cosine itself is positive or negative. So let me put down a limitation here. And that is we cannot tell the sign.

Every time I point this out to people I wince inside. Because I once spent two weeks trying to debug a computer program, and it wasn't working. And it turns out the reason it wasn't working properly was that I didn't realize that you cannot tell the sign when all you know is the squares of the direction cosines. So I remember this as a rather pointed observation.

Happily, there are other relations among this array of coefficients. This row of terms represents the components of  $x_1'$  in the original coordinate system  $x_1$ ,  $x_2$ ,  $x_3$ . This row immediately below it represents the components of a unit vector  $x_2'$  relative to the original coordinate system  $x_1$ ,  $x_2$ ,  $x_3$ . Our coordinate systems are Cartesian. Therefore, this unit vector has to be perpendicular to the unit vector along  $x_2'$ . And that means their dot product has to be 0.

So let me indicate that this way. The unit vector along  $x_1'$  dotted with the unit vector along  $x_2'$  has to be 0. And that dot product is going to be  $C_{11}C_{21}$  plus  $C_{12}C_{22}$  plus  $C_{13}C_{23}$ . And that has to be 0. And this involves only the first product of the direction cosine.

So to make it come out 0 when we add up the magnitudes, we will get the sign of the direction cosine. So this is a much more powerful relation.

And similarly, the product of the coefficients in the first and the third row have to add up to 0. And the second and third row have to be 0. So there are three different relations we can write between products of corresponding coefficients in the rows. So to sum up in words, the sum of the corresponding elements-- of the product of-- in any pair of rows of  $C_{ij}$  must be 0.

But we're not done yet. If we look at the columns in this array, this represents the components of  $x_1$  relative to  $x_1$  prime,  $x_2$  prime,  $x_3$  prime. And these terms here represent the components of  $x_2$  relative to  $x_1$  prime,  $x_2$  prime, and  $x_3$  prime. And for similar reasons, the dot product of those two vectors has to be 0. So we can say that in addition, the sum of any sum of pairs of corresponding coefficients in any pair of columns must be 0.

So we're working here on the direct matrix of the transformation. We've seen that the reverse relation, the inverse matrix of  $C_{ij}$  is  $C_{ij}$  transpose. And therefore the inverse matrix has to have this same relationship that the products of terms and rows or columns, any pair of rows or columns has to be 0.

Now, there's one other pair of relations among the coefficients, which is not quite so geometrically obvious. And I won't attempt to prove it. I'll just state it. I said a moment ago that these are unitary matrices. The determinant of the coefficient  $C_{ij}$  then has to be unity. But interestingly, it will be plus 1 if one goes from a right-handed system to a right-handed system. That is to say the set of axes  $x_1$ ,  $x_2$ ,  $x_3$  might be right-handed. And if the new coordinate system  $x_1$  prime,  $x_2$  prime,  $x_3$  prime is also right-handed, then the determinant of coefficients is plus 1.

On the other hand, if one goes from a right-handed system to a left-handed reference system or from a left-handed one to a right-handed coefficient, then, interestingly the determinant of coefficients is minus 1. So the determinant of the matrix of the transformation is plus 1 if you go to coordinate system of the same chirality. It's equal to minus 1 if you go to a coordinate system of changed chirality.

All right, so to repeat something I said at the outset but which you now probably truly believe, the elements in the direction cosine scheme that get you from one coordinate system to another have lots of inter-relations. And all of these coefficients are not independent. There are these relations that couple them. How are we doing on time?

We mentioned last time that a large collection of physical properties of materials are properties that relate a pair of vectors. So let me, to make this specific, talk about a particular physical property, electrical conductivity. And electrical conductivity relates a current density vector, and that is charge per unit area per unit time to an applied vector, and that vector is the electric field vector. And the electric field has units of volts per unit length, so volts per meter in MKS.

And provided the electric field that's supplied is not too strong, it turns out that every component of the current flow is given by a linear combination of every component of the applied electric field. So the flow of current along  $x_1$  will be given by a proportionality constant, an element  $\sigma_{11}$  times the  $x_1$  component of the electric field. Let me write it out the first couple of times we do this.  $\sigma_{11} E_1$  plus  $\sigma_{12} E_2$  plus  $\sigma_{13} E_3$ .

$J_2$  will be  $\sigma_{21} E_1$  plus  $\sigma_{22} E_2$  plus  $\sigma_{23} E_3$ . And  $J_3$  will be equal to  $\sigma_{31} E_1$  plus  $\sigma_{32} E_2$  plus  $\sigma_{33} E_3$ . Looks formally like the relation between unit vectors that define a coordinate system. Number of subscripts is the same, but actually this is something that's completely different. It's dealing with vectors that have some physical significance. So in compact reduced subscript notation, this is the definition of electrical conductivity. This matrix that relates the electric field vector to the current density vector is said to be a tensor of the second rank.

OK, tensor. First thing you might say, why do you call it a tensor, dummy? It's a matrix. It's a plain old matrix. There's a subtle but very important difference. A tensor is a matrix with an attitude. And I'll make the distinction clear a little bit later on. But there are tensors also of higher rank. These expressions where summation

over repeated subscripts is implied can hide, as I indicated last time, some absolutely horrendous polynomials.

But tensor at very least is a term that makes the faces of all who hear it pale, and makes the knees of even the very strong to weaken. And in case you don't believe that, I'll show you what I have to wear whenever I give these lectures. And consequently it's kind of scuzzy and worn out. But I have to put on these knee braces from wobbling braces. And you can see what it says on here. "Tensor." So that's a consequence of this frightening definition that we've just made.

Let me next set the stage for what we ought to do next.  $E_j$  represents the components of an electric field,  $x_1, x_2, x_3$ , in a first coordinate system.  $j_i$  represent the components of the current flow in a coordinate system,  $x_1, x_2, x_3$ . If we were to change coordinate system for any reason, these three numbers would wink on and off. Some might go negative. The magnitudes would change. And as a result, the components of the current flow would have to do the same thing. Because the components of these vectors, without changing anything physically, have to change their numerical values if we refer them to a new set of reference axes.

If we change coordinate system and these numbers change, and if we change coordinate system, these numbers change, we're still applying field in the same direction. The current still flows in the same direction. But the components we use to define these two vectors change. And it follows just algebraically, the elements of the tensor have to change and link into different values. It follows automatically. So a question, then, is that if we have a coordinate system,  $x_1, x_2, x_3$ , and we change it into a new coordinate system,  $x_1', x_2', x_3'$ , then  $j_i$  changes to some new values,  $j_i'$ .  $E_j$  changes to some new values,  $E_j'$ . And therefore, of necessity,  $\sigma_{ij}$ , the conductivity tensor, has to change to new values  $\sigma_{ij}'$ .

So I'll let you rest up to brace yourself for this. The question is, how can we get  $\sigma_{ij}'$ , the nine elements of the tensor in the new coordinate system, in terms of the direction cosine scheme that defines this transformation and in terms of

the elements of the original conductivity tensor? And this, my friends, is what makes a tensor a tensor and not a matrix. I can write a matrix for you, a really lovely matrix. Let's put in some elements here. Let's put in 6.2, square root of minus 1e, and 23. And as other elements, I'll put in  $\pi$  23.4, 6, and 0. It's a perfectly good matrix. It's just an array of numbers, any numbers, real or imaginary, or whatever I like. So this is a matrix.

What a tensor is, is a matrix for which a law of transformation is defined. And that's what makes a tensor a tensor. What does it mean to take this two-by-four matrix that I just wrote down? How do I transform that to a different coordinate system? It's meaningless, just an array of numbers. It's an array of numbers that has some useful properties, like matrix multiplication and the like.

But to talk about transformation of this set of four ridiculous numbers to a new coordinate system is something that's absolutely meaningless. Not so for something like conductivity or the piezoelectric moduli or the elastic constants. These change their values. There's a law of transformation when we go from one Cartesian reference system to another.

So what we will do when and if you return is to derive a law for transformation for second-rank tensors, and then, by implication, look at higher-rank tensors and decide how they would transform. But why would you want to do this? Why would you want to muck things up and have to worry about transforming these numbers?

Well, let me give you just one simple example. Suppose we had conductivity of a plate, of a crystal. And what would you do? You'd measure it relative to a set of axes, which, if you have a little fragment of crystal, you have no reference system. So say that the axes  $x$  of  $i$  are taken relative to the lattice constants of the material, so relative to the edges of the unit cell, possibly.

Then you decide that this material really has some useful properties, and you would like to cut a piece out of it so that you get a plate for which the maximum conductivity in that plate is in a direction normal to the plate. So you know just what sort of plate you want to cut out. You know what the direction cosines are. But once

you've cut a plate from the crystal, the tensor relative to the old axes,  $x_1$ ,  $x_2$ ,  $x_3$ , is not going to be terribly useful. You're going to want to find the tensor relative to this as one set of axes, and these perhaps as a new set of axes within the plane of the plate.

So there's a good example. Cut a piece from a crystal and cut that piece so that the extreme values are along  $x$ ,  $y$ , and  $z$  for the new coordinate system. Then you will be faced with the necessity of transforming the tensor from one coordinate system to another one. Or you might measure the thermal conductivity tensor. You might want to cut a rod out of the material so that the maximum conductivity or the minimum thermal conductivity is along the direction of the rod. You might want to use that as a push rod to hold a sample in position and not have it be a big heat sink for the temperature that's inside of your sample chamber.

So I've hopefully convinced you that there are lots of cases where it would be necessary and convenient to transform the tensor that describes a property to a new coordinate system. All right, so let us take our break now. Some internal clock always tells me when it's five of the hour, unless I get really excited about something. And it is indeed that time now. So let's stop.