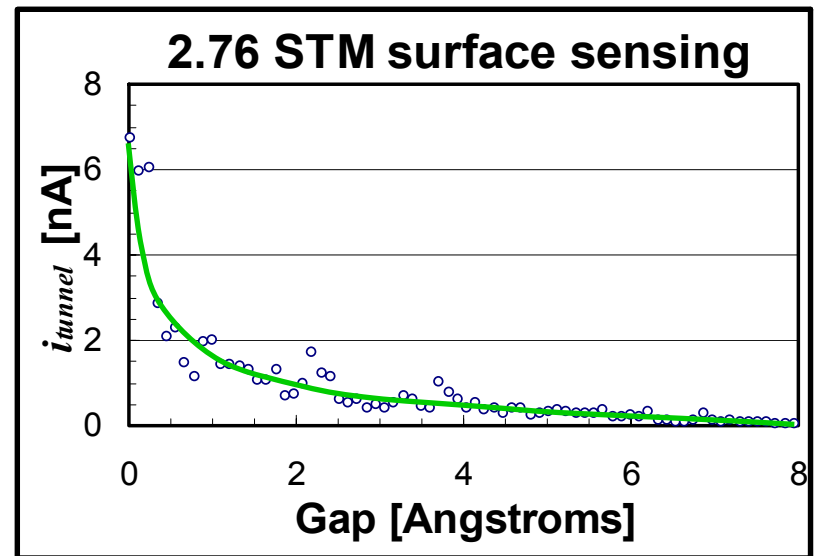
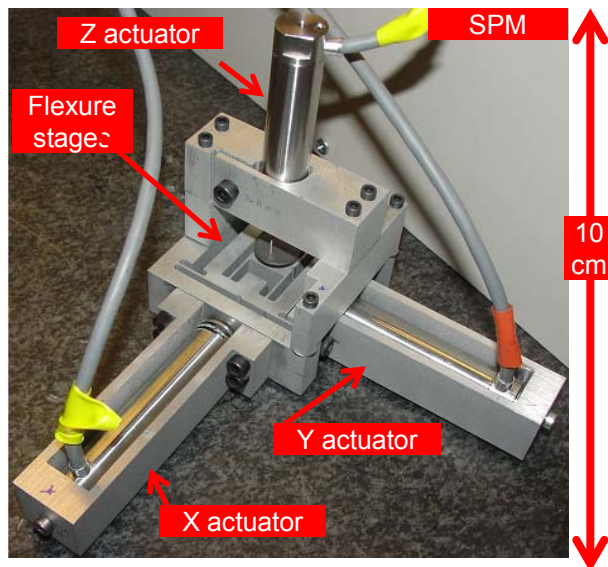


# 2.76 / 2.760 Lecture 6: Micro-Nano-STM

Micro-scaling

Nano-scaling

STM project



# Purpose of today

$$\begin{array}{c} O_{Macro} \\ O_{Meso} \\ O_{Micro} \\ O_{Nano} \end{array} = \begin{array}{cccc} f_{11} \left( \frac{SR_{Macro}}{Macro} \right) & f_{12} \left( \frac{SR_{Meso}}{Macro} \right) & f_{13} \left( \frac{SR_{Micro}}{Macro} \right) & f_{14} \left( \frac{SR_{Nano}}{Macro} \right) \\ f_{21} \left( \frac{SR_{Macro}}{Meso} \right) & f_{22} \left( \frac{SR_{Meso}}{Meso} \right) & f_{23} \left( \frac{SR_{Micro}}{Meso} \right) & f_{24} \left( \frac{SR_{Nano}}{Meso} \right) \\ f_{31} \left( \frac{SR_{Macro}}{Micro} \right) & f_{32} \left( \frac{SR_{Meso}}{Micro} \right) & f_{33} \left( \frac{SR_{Micro}}{Micro} \right) & f_{34} \left( \frac{SR_{Nano}}{Micro} \right) \\ f_{41} \left( \frac{SR_{Macro}}{Nano} \right) & f_{42} \left( \frac{SR_{Meso}}{Nano} \right) & f_{43} \left( \frac{SR_{Micro}}{Nano} \right) & f_{44} \left( \frac{SR_{Nano}}{Nano} \right) \end{array} \cdot \begin{array}{c} I_{Macro} \\ I_{Meso} \\ I_{Micro} \\ I_{Nano} \end{array}$$

Finish micro-scale gain factors

Nano-scale phenomena (to be cont.)

STM project start

# Micro-scale systems cont.

# Micro-scale physics

**For strong dependence on characteristic length, importance of phenomena decreases with characteristic dimension**

□ Body  $L^3$

**For weaker dependence on characteristic length, phenomena become dominate at small scale**

□ Electrostatic  $L^2$

□ Thermal  $L$

□ Surface tension  $L^2$

# Micro-scale physics: Electrostatic

$$U_{Electric-z} = \frac{\epsilon_0 \cdot L \cdot L \cdot V^2}{2 \cdot z} \longrightarrow F_{Electric-z} = -\frac{dU}{dz} \longrightarrow F_{Electric-z} = \frac{\epsilon_0 \cdot L^2 \cdot V^2}{2 \cdot z^2}$$

$$F_{body} = \rho \cdot V^3 \longrightarrow \left| \frac{F_{Electric}}{F_{Body}} \right| \sim \frac{1}{L}$$

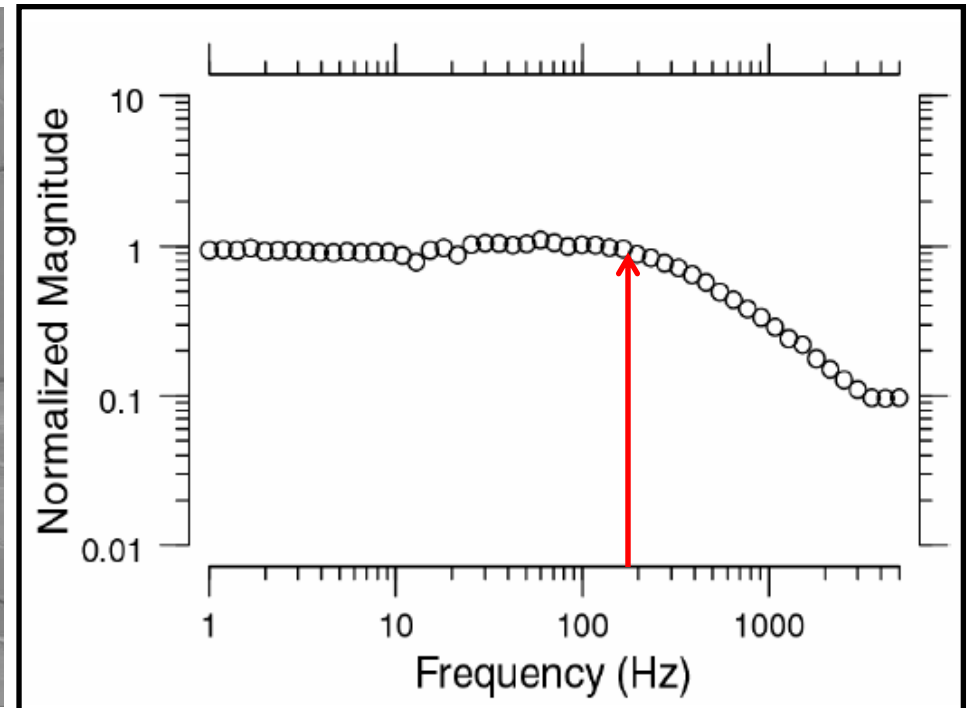
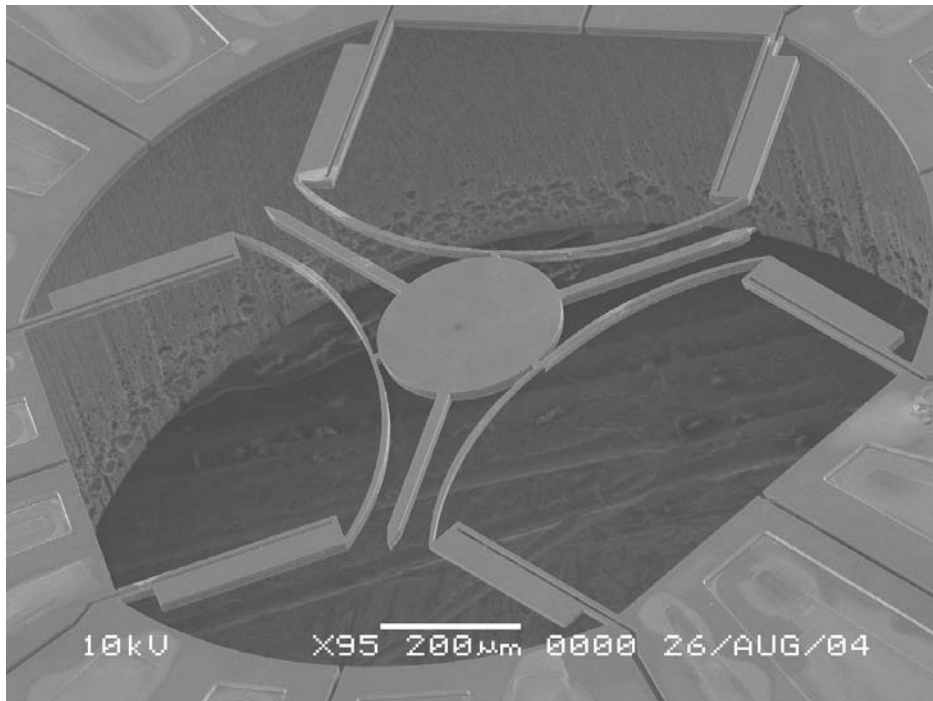
class	maximum number of particles per cubic foot of air of diameter greater than or equal to each indicated size					typical uses
	0.1 μm	0.2 μm	0.3 μm	0.5 μm	5.0 μm	
1	35	7.5	3	1	—	integrated circuits
10	350	75	30	10	—	
100	—	7502	300	100	—	miniature ball bearings; photo labs; medical implants
1000	—	—	—	1000	7	color TV tubes; hospital operating room
10000	—	—	—	10000	70	
100000	—	—	—	100000	700	ball bearings

# Micro-scale physics: Thermal

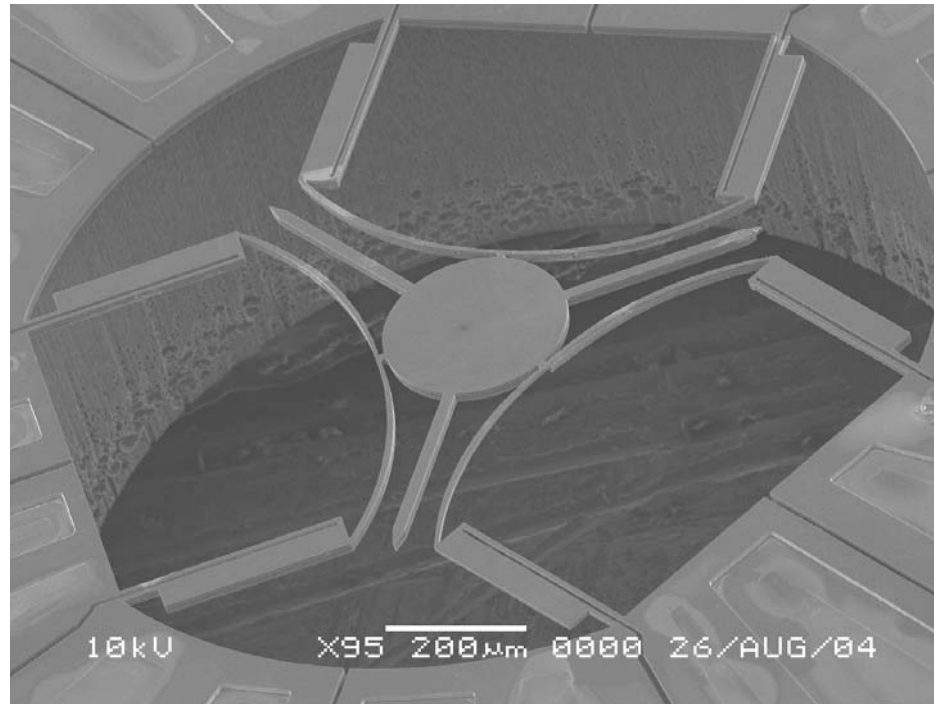
How does thermal physics scale (small Bi #)?

$$e^{\left[-\left(\frac{h \cdot A}{\rho \cdot V \cdot c}\right) \cdot t\right]} = \frac{\theta}{\theta_{\text{inf}}} = \frac{T - T_{\text{inf}}}{T_{\text{initial}} - T_{\text{inf}}}$$

$$Bi = \frac{h \cdot L}{k} \sim \frac{\text{Convection}}{\text{Conduction}}$$



# Micro-scale physics: Dynamics



$$\omega_n = \sqrt{\frac{k}{m}} \sim \sqrt{\frac{E \cdot f(\text{topology})}{\rho \cdot V}} \rightarrow \sqrt{\frac{E \cdot f(L^A, h^B, w^C)}{\rho \cdot f(L^D, h^E, w^F)}}$$

$$\alpha = A + B + C - D - E - F$$

# Micro-scale physics: Dynamics

How does natural frequency scale?

$$\omega_n = \sqrt{\frac{k}{m}} \sim \sqrt{\frac{E \cdot f(\text{topology})}{\rho \cdot V}} \rightarrow \sqrt{\frac{E \cdot f(L^{\overset{\sim-3}{A}}, h^{\overset{\sim-3}{B}}, w^{\overset{\sim-1}{C}})}{\rho \cdot f(L^{\underset{\sim-1}{D}}, h^{\underset{\sim-1}{E}}, w^{\underset{\sim-1}{F}})}}$$

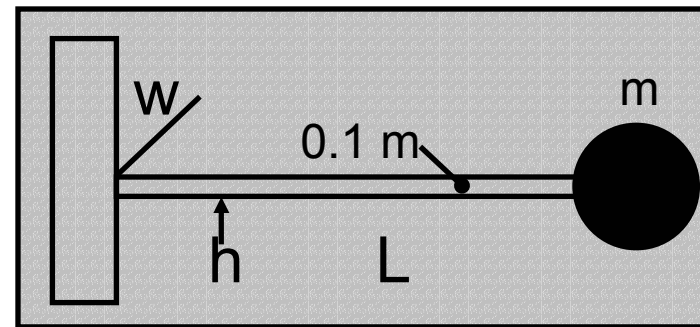
$$\delta = F \frac{L^3}{3 \cdot E \cdot I} = F \frac{L^3}{3 \cdot E \cdot \frac{w \cdot h^3}{12}}$$

$$\alpha = -2$$

$$k = \frac{dF}{d\delta} = \frac{E}{12} \cdot \frac{w \cdot h^3}{L^3} \sim C_1 \cdot [L]$$

$$m = 10 \cdot \rho \cdot L \cdot h \cdot w \sim C_2 \cdot [L^3]$$

$$\omega_n = \sqrt{\frac{k}{m}} \approx C_3 \cdot \sqrt{\frac{L}{L^3}} \rightarrow \left[ \frac{1}{L} \right]$$





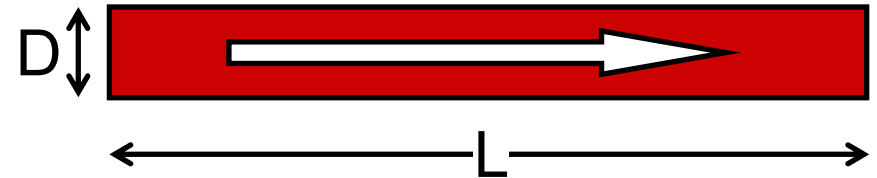
# Micro-scale physics: Fluidics

How do fluid-based physical phenomena scale?

$$Q = \frac{\pi r^4 \Delta p}{8 \cdot \mu \cdot L}$$

$$Q = U \cdot \pi \cdot r^2$$

$$\Delta p = -\frac{8 \cdot \mu \cdot U}{r^2} \cdot L$$



High pressure change over narrow flow paths...

Reynolds number

$$Re = \frac{\rho \cdot U \cdot D}{\mu} \longrightarrow \text{Ratio of inertial forces to viscous forces}$$

$$D = 50 \mu\text{m}$$

$$U = 500 \mu\text{m/s}$$

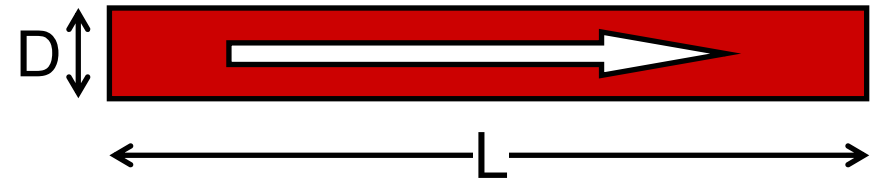
$$L = 1000 \mu\text{m}$$

$$Re_{\text{Air}} \text{ and } Re_{\text{H}_2\text{O}} \ll 1$$

Heavily damped, limits response time (ms vs.  $\mu\text{s}$ )

# Micro-scale physics: Fluidics

## Reynolds number



$$Re = \frac{\rho \cdot U \cdot D}{\mu} \longrightarrow \text{Ratio of inertial forces to viscous forces}$$

$$D = 50 \mu\text{m}$$

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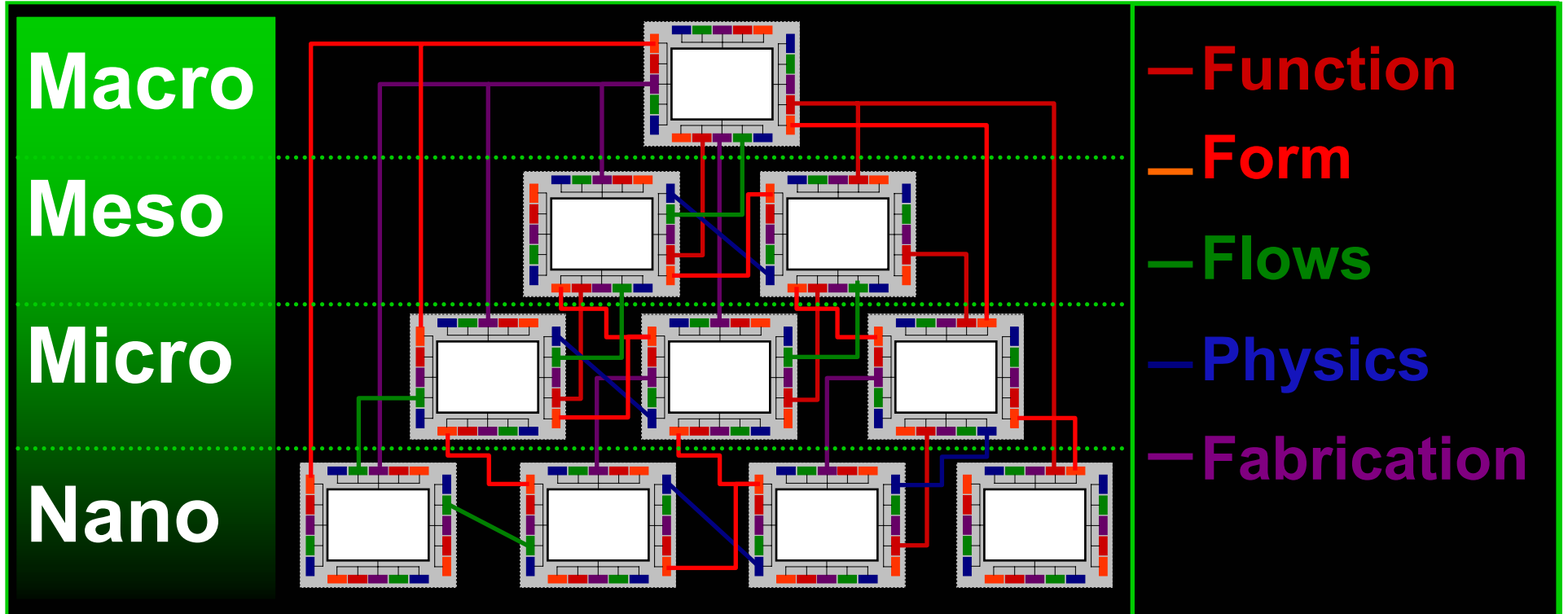
$$L = 1000 \mu\text{m}$$

$$Re_{\text{Air}} \text{ and } Re_{\text{H}_2\text{O}} \ll 1$$

## Heavily damped

## Limits response time (ms vs. $\mu\text{s}$ )

# Cross-scale coupling



Function	Form	Flow	Physics	Fabrication
What	Geometry	Mass	Application	Compatibility
Who	Motion	Momentum	Modeling	Quality
Why	Interfaces	Energy	Limiting	Rate
Where	Constraints	Information	Dominant	Cost
Etc...	Etc...	Etc...	Etc...	Etc...

# Strategies for jumping scales

## 1. Functional requirements

**System**

**Subsystem**

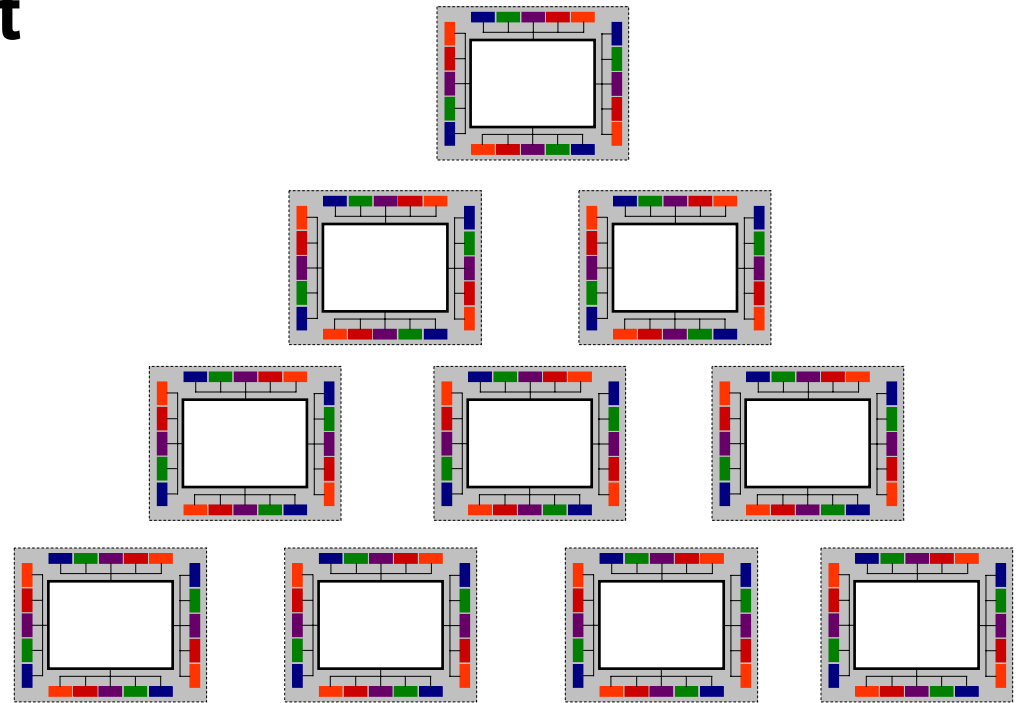
**FR-DP relationships**

Function	Form	Flow	Physics	Fabrication
What	Geometry	Mass	Application	Compatibility
Who	Motion	Momentum	Modeling	Quality
Why	Interfaces	Energy	Limiting	Rate
Where	Constraints	Information	Dominant	Cost
Etc...	Etc...	Etc...	Etc...	Etc...

# Strategies for jumping scales

## 2. Form & concept layout

### DP/module layout

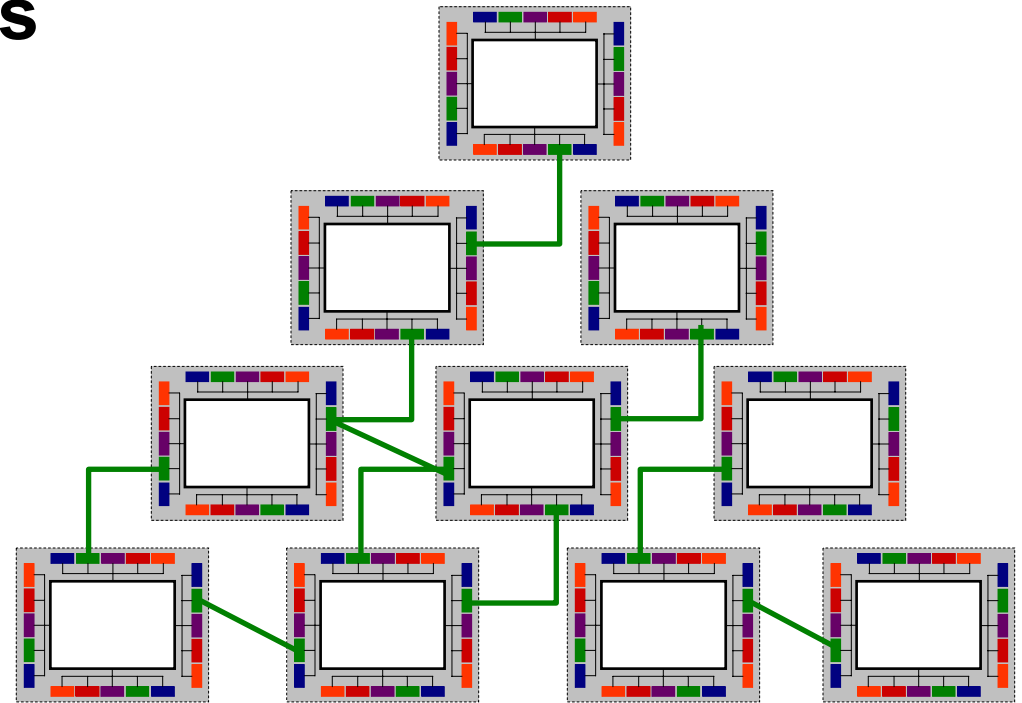


Function	Form	Flow	Physics	Fabrication
What	Geometry	Mass	Application	Compatibility
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Etc...	Etc...	Etc...	Etc...	Etc...

# Strategies for jumping scales

## 3. ALL Flow/physics lines

Intra and Inter



Function	Form	Flow	Physics	Fabrication
What	Geometry	Mass	Application	Compatibility
Who	Motion	Momentum	Modeling	Quality
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Etc...	Etc...	Etc...	Etc...	Etc...

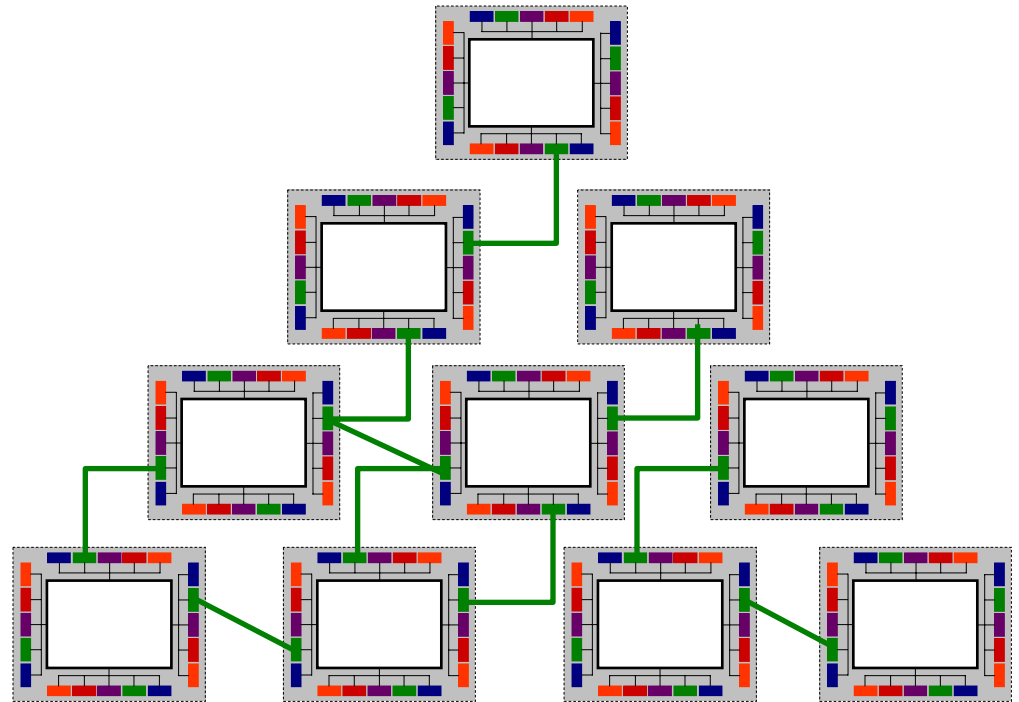
# Strategies for jumping scales

## 4. Flow physics

List macro assmpts.

Use ratios & OOM

Select those to model



Function	Form	Flow	Physics	Fabrication
What	Geometry	Mass	Application	Compatibility
Who	Motion	Momentum	Modeling	Quality
Why	Interfaces	Energy	Limiting	Rate
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Etc...	Etc...	Etc...	Etc...	Etc...

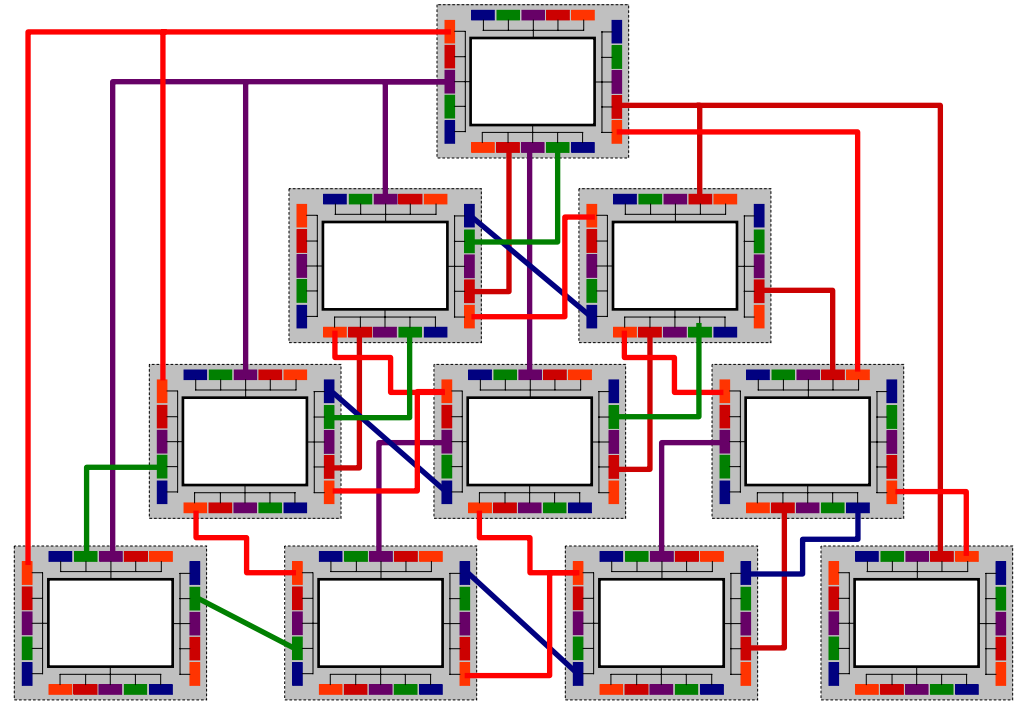
# Strategies for jumping scales

## 5. System model

Sensitivity/gain check

Flow & fab compatibility

Un/de coupling



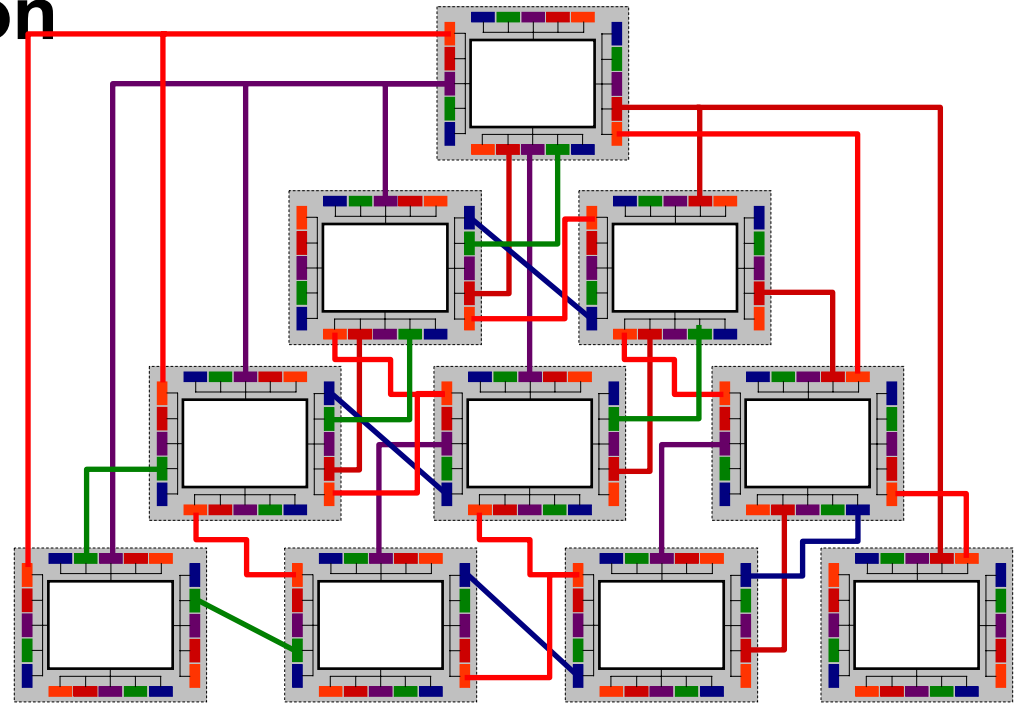
Function	Form	Flow	Physics	Fabrication
What	Geometry	Mass	Application	Compatibility
Who	Motion	Momentum	Modeling	Quality
Why	Interfaces	Energy	Limiting	Rate
Where	Constraints	Information	Dominant	Cost
Etc...	Etc...	Etc...	Etc...	Etc...



# Strategies for jumping scales

## 6. Parametric optimization

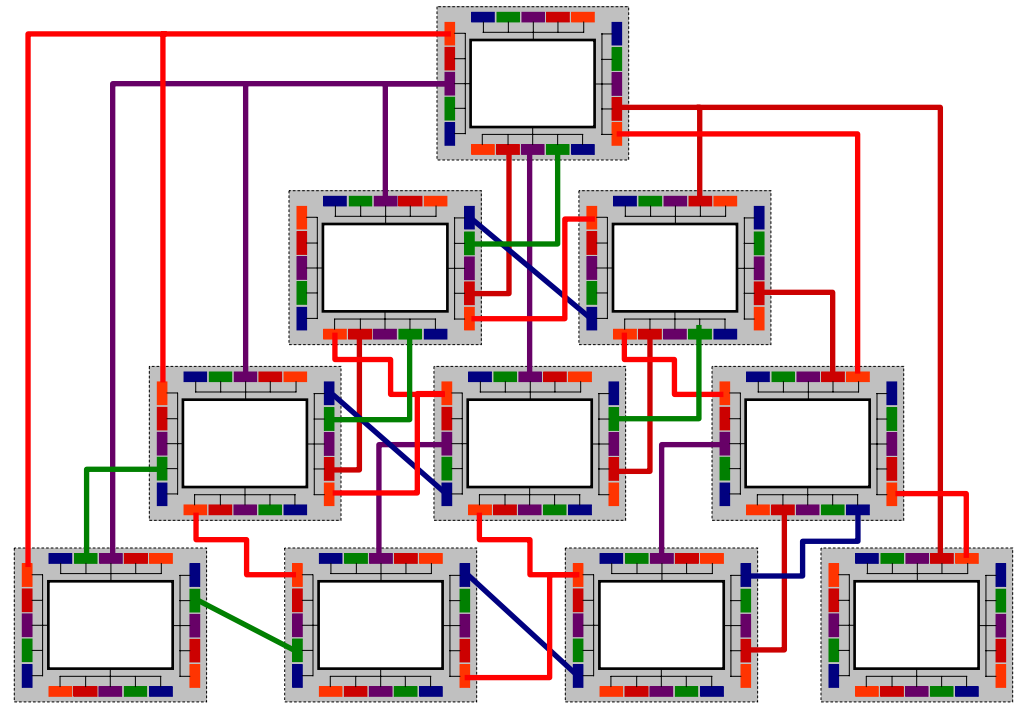
Excel works great



Function	Form	Flow	Physics	Fabrication
What	Geometry	Mass	Application	Compatibility
Who	Motion	Momentum	Modeling	Quality
Why	Interfaces	Energy	Limiting	Rate
Where	Constraints	Information	Dominant	Cost
Etc...	Etc...	Etc...	Etc...	Etc...

# Strategies for jumping scales

## 7. Concept selection



Function	Form	Flow	Physics	Fabrication
What	Geometry	Mass	Application	Compatibility
Who	Motion	Momentum	Modeling	Quality
Why	Interfaces	Energy	Limiting	Rate
Where	Constraints	Information	Dominant	Cost
Etc...	Etc...	Etc...	Etc...	Etc...

# Nano-scale system components

# **Nano-scale for today**

**Driving tunneling current relationship**

**How this drives design**

**Gain and noise factors**

**Discussion**

**Group work**

# Gain factors to consider

$$\begin{array}{c} O_{Macro} \\ O_{Meso} \\ O_{Micro} \\ O_{Nano} \end{array} = \begin{array}{cccc} f_{11} \left( \frac{SR_{Macro}}{Macro} \right) & f_{12} \left( \frac{SR_{Meso}}{Macro} \right) & f_{13} \left( \frac{SR_{Micro}}{Macro} \right) & f_{14} \left( \frac{SR_{Nano}}{Macro} \right) \\ f_{21} \left( \frac{SR_{Macro}}{Meso} \right) & f_{22} \left( \frac{SR_{Meso}}{Meso} \right) & f_{23} \left( \frac{SR_{Micro}}{Meso} \right) & f_{24} \left( \frac{SR_{Nano}}{Meso} \right) \\ f_{31} \left( \frac{SR_{Macro}}{Micro} \right) & f_{32} \left( \frac{SR_{Meso}}{Micro} \right) & f_{33} \left( \frac{SR_{Micro}}{Micro} \right) & f_{34} \left( \frac{SR_{Nano}}{Micro} \right) \\ f_{41} \left( \frac{SR_{Macro}}{Nano} \right) & f_{42} \left( \frac{SR_{Meso}}{Nano} \right) & f_{43} \left( \frac{SR_{Micro}}{Nano} \right) & f_{44} \left( \frac{SR_{Nano}}{Nano} \right) \end{array} \cdot \begin{array}{c} I_{Macro} \\ I_{Meso} \\ I_{Micro} \\ I_{Nano} \end{array}$$

# Nano-scale

**Macro/meso/micro can be looked at with common Newtonian physical descriptions**

**For Nm-scale Quantum Mechanics dominates**

## **Approach to teaching Nano-scale (example)**

- Tunneling (Qualitative/Quantitative)
- Nano-scale structures

## **Today**

- Governing tunneling equation
- How to apply to design
- Major design issues

## **Reading**

# Tunneling

**Electrons have wave-like characteristics**

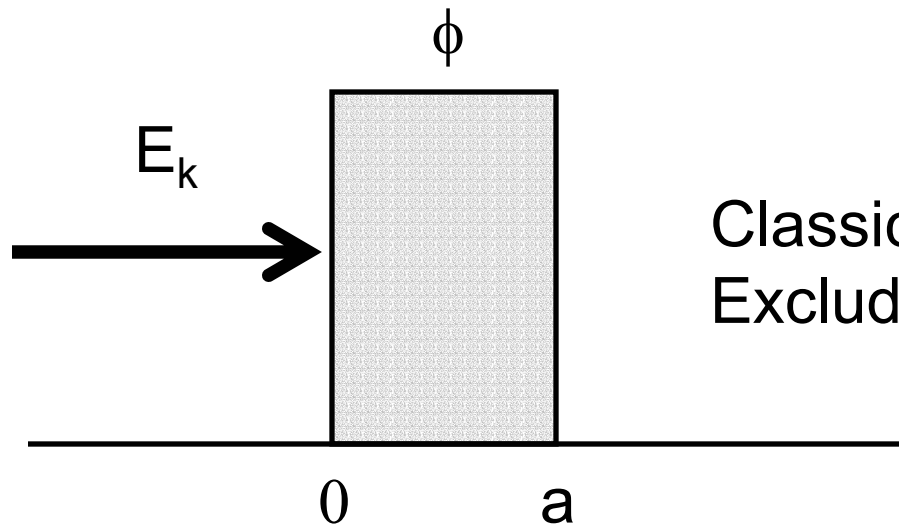
**Enables them to tunnel through space when ordinarily don't have enough kinetic energy to get through**

# Tunneling

**Electrons have wave-like characteristics**

**Enables them to tunnel through space when ordinarily don't have enough kinetic energy to get through**

Particle thought



Classical theory = particle to be Excluded from  $x > a$

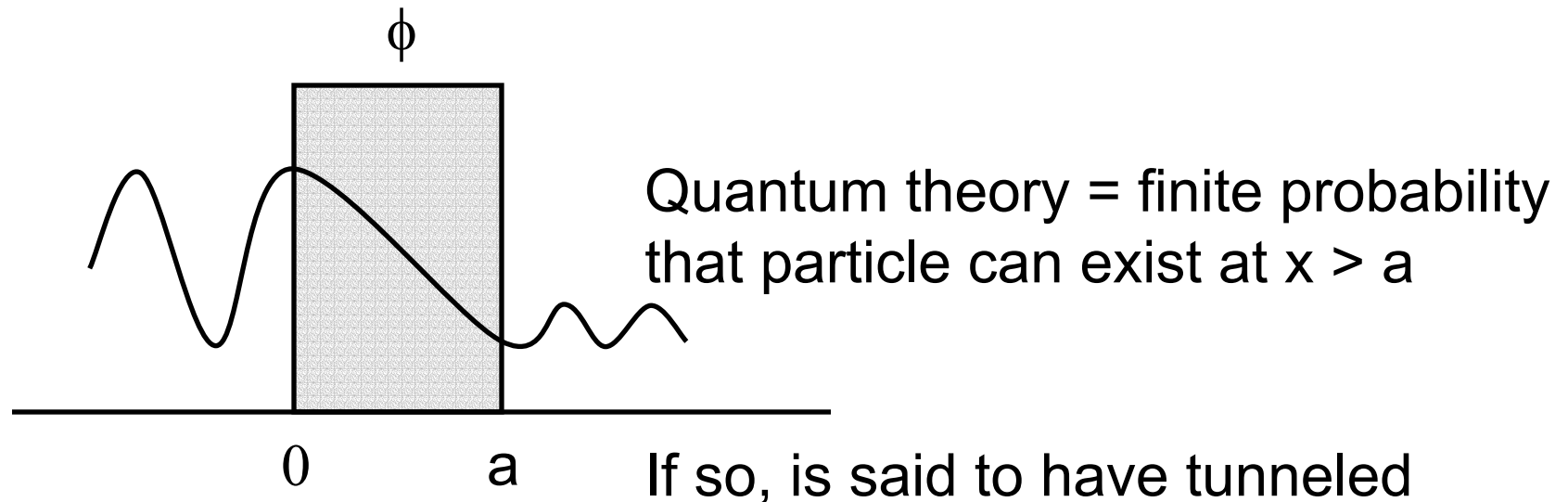


# Tunneling

Electrons have wave-like characteristics

Enables them to tunnel through space when ordinarily don't have enough kinetic energy to get through

Wave thought



# Tunneling

**Electrons have wave-like characteristics**

**Enables them to tunnel through space when ordinarily don't have enough kinetic energy to get through**

**Solution to Schrodinger's equation**

$$I \propto e^{[-? \cdot gap]}$$

**What should “?” depend upon?**

# Tunneling

**Electrons have wave-like characteristics**

**Enables them to tunnel through space when ordinarily don't have enough kinetic energy to get through**

$$I \propto e^{[-2 \cdot k \cdot gap]}$$

$$m = \text{Electron mass} \\ = 9.11 \times 10^{-31} \text{ kg}$$

Local potential barrier height  
analogous to work ( $\sim 5 \text{ eV}$ )  
 $1 \text{ eV} = 1.6 \times 10^{-19} \text{ J}$

$$k = \frac{\sqrt{2 \cdot m \cdot \phi}}{h}$$

$$h = \text{Planks constant} / 2 \pi \\ = 1.05 \times 10^{-34} \text{ J-s}$$

# Fundamental issue for semester

## Sensitivity

Assuming a barrier width of 5 Angstroms

Barrier height of 4 eV

Exponential is on the order of  $10^{-5}$

Current is on the order of nAmps

$$I \propto e^{-2 \cdot k \cdot \text{gap}}$$

$$k = \frac{\sqrt{2 \cdot m \cdot \phi}}{h}$$

# Assignment

**Form your STM groups**

**List of CS and FRs for the STM**

**List of 5 F's you have to model**

**FR-DP mapping and de/un coupling plans**

**Schedule meeting with Culpepper next Friday**

- Discuss theory
- Design approach
- FR-DP Matching
- Gain matrices

# Fundamental issue for semester

**What is the sensitivity of current to gap over the range of gap you will have to design for?**

**Gap of few angstroms to 10 angstroms**

$$I \propto e^{-2 \cdot k \cdot \text{gap}}$$

$$k = \frac{\sqrt{2 \cdot m \cdot \phi}}{h}$$