

# Neutron Scattering

# Cross Section

*in 7 easy steps*

1. Scattering Probability (TDPT)
2. Adiabatic Switching of Potential
3. Scattering matrix (integral over time)
4. Transition matrix (correlation of events)
5. Density of states
6. Incoming flux
7. Thermal average

# I. Scattering Probability

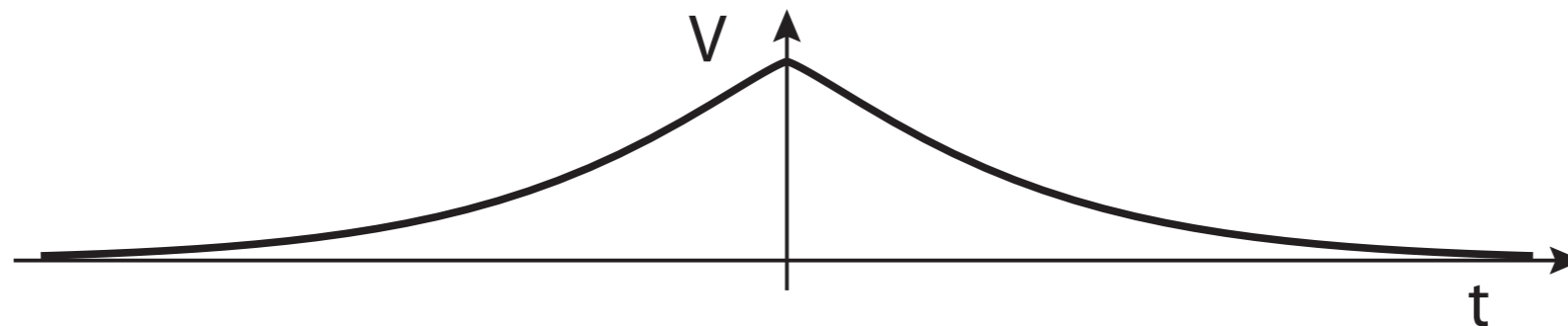
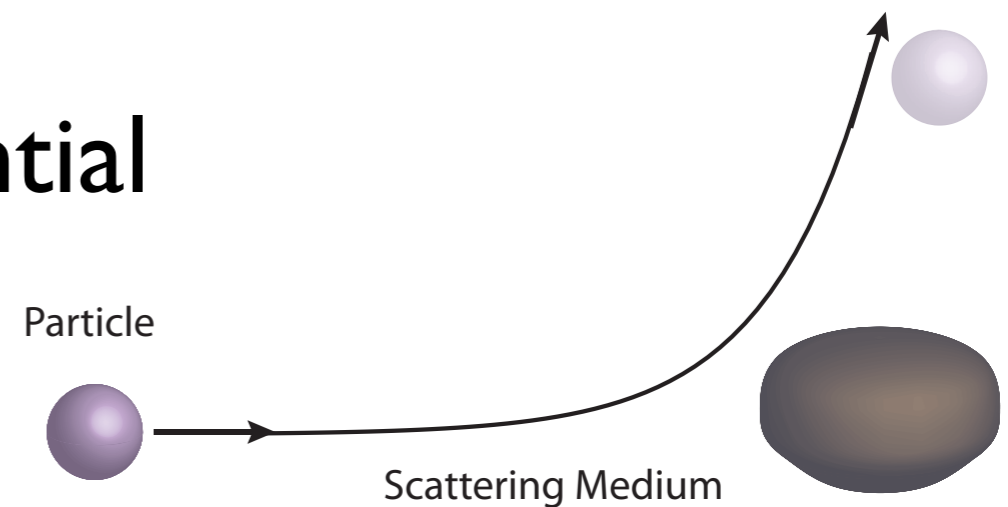
- Probability of final scattered state, when evolving under scattering interaction

$$P_{scatt} = | \langle f | U_I(t) | i \rangle |^2$$

- Time-dependent perturbation theory (Dyson expansion)

# 2. Adiabatic Switching

- Slow switching of potential  
→ time  $\in [-\infty, \infty]$



- $V$  is approximately constant

# 3. Scattering Matrix

- Propagator for time  $t = -\infty \rightarrow t = \infty$  is called the scattering matrix

$$|\langle f | U_I(t_i = -\infty, t_f = \infty) | i \rangle|^2 = |\langle f | S | i \rangle|^2$$

- $S$  is expanded in series:

$$\langle f | S^{(1)} | i \rangle = -iV_{fi} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} dt = -2\pi i \delta(\omega_f - \omega_i) V_{fi}$$

$$\langle f | S^{(2)} | i \rangle = - \langle f | \left( \sum_m V | m \rangle \langle m | V \right) | i \rangle \int_{-\infty}^{\infty} dt_1 e^{i\omega_{fm}t_1} \int_{-\infty}^{t_1} dt_2 e^{i\omega_{mi}t_2}$$

# 3. Scattering Matrix

- Be careful with integration ( $\epsilon \dots$ )
- First and second order simplify to

$$\langle f | S^{(1)} | i \rangle = -2\pi i \delta(\omega_f - \omega_i) \langle f | V | i \rangle$$

$$\langle f | S^{(2)} | i \rangle = -2\pi i \delta(\omega_f - \omega_i) \sum_m \frac{\langle f | V | m \rangle \langle m | V | i \rangle}{\omega_i - \omega_m}$$

# 4. Transition Matrix

- The scattering matrix is given by the transition matrix

$$\langle f | S | i \rangle = -2\pi i \delta(\omega_f - \omega_i) \langle f | T | i \rangle$$

- which has the following expansion

$$\langle f | T | i \rangle = \langle f | V | i \rangle + \sum_m \frac{\langle f | V | m \rangle \langle m | V | i \rangle}{\omega_i - \omega_m} + \sum_{m,n} \frac{V_{fm} V_{mn} V_{ni}}{(\omega_i - \omega_m)(\omega_i - \omega_n)} + \dots$$

# 4. Transition Matrix

- Scattering probability

$$P_s = 4\pi^2 |\langle f | T | i \rangle|^2 \delta^2(\omega_f - \omega_i) = 2\pi t |\langle f | T | i \rangle|^2 \delta(\omega_f - \omega_i)$$

(not well defined because of  $t \rightarrow \infty$ )

- Scattering rate

$$W_S = 2\pi |\langle f | T | i \rangle|^2 \delta(\omega_f - \omega_i)$$



# 4. Transition Matrix

- Target is left in one (of many possible) state.
- Radiation is left in a continuum state
- Separate the two subsystems  
(no entanglement prior and after the scattering event)  
and rewrite the transition matrix

# 4. Transition Matrix

- Target:  $|m_k\rangle, \epsilon_k$
- Radiation:  $|k\rangle, \omega_k$
- Scattering rate  $W_{fi} = 2\pi |\langle f|T|i\rangle|^2 \delta(E_f - E_i)$

go back to definition, using explicit states

$$W_{fi} = |\langle m_f, k_f|T|m_i, k_i\rangle|^2 \int_{-\infty}^{\infty} e^{i(\omega_f + \epsilon_f - \omega_i - \epsilon_i)t}$$

# 4. Transition Matrix

- Work in Schrodinger pict. for radiation and Interaction pict. for target:

$$\begin{aligned} \langle m_f, k_f | T_{I_t I_r} | m_i, k_i \rangle &= \langle m_f, k_f | T_{S_t S_r} | m_i, k_i \rangle e^{i(\omega_f - \omega_i)t} e^{i(\epsilon_f - \epsilon_i)t} \\ &= \langle m_f, k_f | T_{I_t S_r}(t) | m_i, k_i \rangle e^{i(\omega_f - \omega_i)t} = \langle m_f | T_{k_f, k_i}(t) | m_i \rangle e^{i(\omega_f - \omega_i)t} \end{aligned}$$

- Scattering rate is then a correlation

$$W_{fi} = \frac{1}{\hbar^2} \int_{-\infty}^{\infty} e^{i(\omega_f - \omega_i)t} \langle m_i | T_{k_f, k_i}^\dagger(0) | m_f \rangle \langle m_f | T_{k_f, k_i}(t) | m_i \rangle$$

NOTE: Time evolution of target only

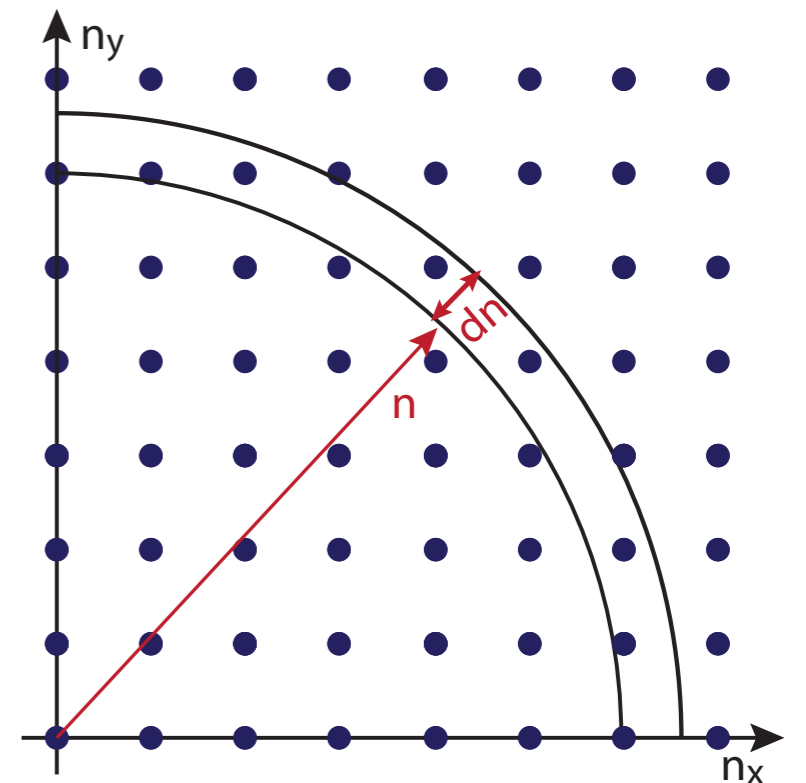
(e.g. lattice nuclei vibration)

# 5. Density of states

- # of states  $\sum_k n(E_k) \approx \int d^3 n(E)$
- Plane wave in a cubic cavity

$$k_x = \frac{2\pi}{L} n_x \rightarrow d^3 n = \left( \frac{L}{2\pi} \right)^3 d^3 k$$

$$\rho(E) dE d\Omega = \rho(k) d^3 k = \left( \frac{L}{2\pi} \right)^3 k^2 dk d\Omega$$



# 5. Density of states

- Photons,  $k = E/\hbar c \rightarrow \frac{dk}{dE} = 1/\hbar c$

$$\rho(E) = 2 \left( \frac{L}{2\pi} \right)^3 \frac{E^2}{\hbar^3 c^3} = 2 \left( \frac{L}{2\pi} \right)^3 \frac{\omega_k^2}{\hbar c^3}$$

- Massive particles,  $E = \frac{\hbar^2 k^2}{2m}$

$$\rho(E) = \left( \frac{L}{2\pi} \right)^3 \frac{k}{\hbar^2} = \left( \frac{L}{2\pi} \right)^3 \frac{\sqrt{2mE}}{\hbar^3}$$

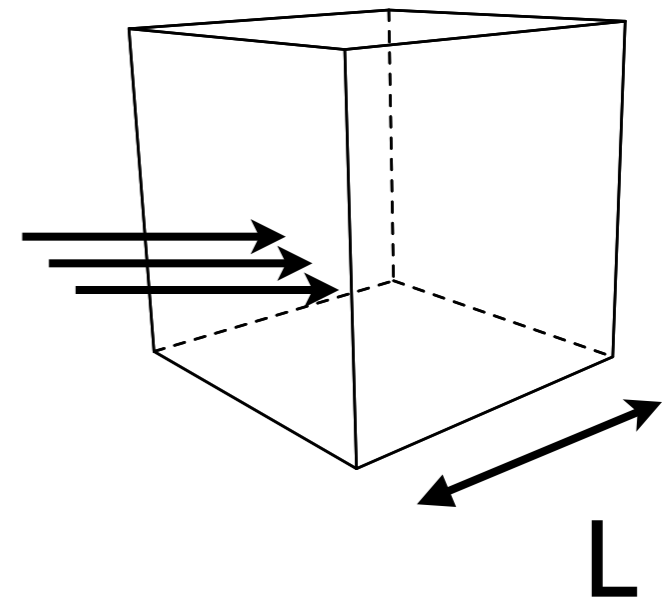
# 6. Incoming Flux

- # scatterer per unit area and time

$$\Phi = \frac{\#}{At} = \frac{v}{L^3}, \text{ since } t = L/v, A = L^2$$

- Photons,  $\Phi = c/L^3$

- Massive particles,  $\Phi = \frac{\hbar k}{mL^3}$



# 7. Thermal Average

- Average over initial state of target

$$W_S(i \rightarrow \Omega + d\Omega, E + dE) = \rho(E) \sum_i P_i \sum_f W_{fi}$$

- Scattering Cross Section

$$\frac{d^2\sigma}{d\Omega dE} = \frac{1}{\hbar^2} \sum_f \int_{-\infty}^{\infty} dt e^{i\omega_{fi}t} \left\langle T_{if}^\dagger(0) T_{fi}(t) \right\rangle_{th} \frac{\rho(E)}{\Phi}$$

# Neutrons

- Using  $\Phi_{inc}$  and  $\rho(E)$  for massive particles, the scattering cross section is:

$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{1}{2\pi} \left( \frac{mL^3}{2\pi\hbar^2} \right)^2 \frac{k_f}{k_i} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} \left\langle T_{if}^\dagger(0) T_{fi}(t) \right\rangle$$



# Neutrons

- Evaluate  $T$  for neutrons, with states

$$|k_{i,f}\rangle \rightarrow \psi_k(r) = e^{ik \cdot r} / L^{3/2}$$

- we obtain  $T_{k_f k_i}(t, Q)$  with  $Q = k_f - k_i$

$$\langle k_f | T(t, r) | k_i \rangle = \int_{L^3} d^3 r \quad \psi_{k_f}^*(r) T(r, t) \psi_{k_i}(r)$$

$$= \frac{1}{L^3} \int_{L^3} d^3 r e^{iQ \cdot r} T(r, t)$$

# Neutron Transition Matrix

- We still need to take the expectation value with respect to the target states,

$$T_{fi} = \frac{1}{L^3} \int_{L^3} d^3r e^{iQ \cdot r} \langle m_f | T(r, t) | m_i \rangle$$

# Fermi Potential

- T is an expansion of the interaction potential, here the nuclear potential
- analyze at least first order..

# Fermi Potential

- Nuclear potential is very strong ( $V_0 \sim 30\text{MeV}$ )
- And short range ( $r_0 \sim 2\text{fm}$ )
- Not good for perturbation theory!
- Fermi approximation
- What is important is the product

$$a \propto V_0 r_0^3$$

( $a$  = scattering length) if  $kr_0 \ll 1$

# Fermi Potential

- Replace nuclear potential with weak, long range pseudo-potential
- Still, short range compared to wavelength
- Delta-function potential!

neutron wavefunction

$$V(r) = \frac{2\pi\hbar^2}{\mu} a\delta(r)$$

# Scattering Length

- Free scattering length  $a$ ,

$$V(r) = \frac{2\pi\hbar^2}{\mu} a \delta(r) \rightarrow \frac{2\pi\hbar^2}{m_n} b \delta(r)$$

- bound scattering length  $b$  (include info about isotope and spin)

$$b = \frac{m_n}{\mu} a \approx \frac{A+1}{A} a$$

(reduced mass:  $\mu = \frac{M m_n}{M + m_n}$  )

# Transition Matrix

- To first order, the transition matrix is just the potential

$$T_{fi} = \frac{1}{L^3} \int_{L^3} d^3r e^{iQ \cdot r} \langle m_f | V(r, t) | m_i \rangle$$

- Using the nuclear potential for a nucleus at a position  $R$ , we have

$$T_{fi} = \frac{1}{L^3} \int_{L^3} d^3r e^{iQ \cdot r} \frac{2\pi\hbar^2}{m_n} b(R) \delta(R) T(r, t) = \frac{2\pi\hbar^2}{m_n} b(R) e^{iQ \cdot R(t)}$$

# Transition Matrix

- To first order, for many scatter at position  $r_i$

$$T_{fi}(t) = \frac{2\pi\hbar^2}{m_n} \sum_i b_i e^{iQ \cdot r_i(t)}$$

- The scattering cross section becomes

$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{1}{2\pi} \frac{k_f}{k_i} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} \sum_{\ell,j} b_\ell b_j \left\langle e^{-iQ \cdot r_\ell(0)} e^{iQ \cdot r_j(t)} \right\rangle_{th}$$



# Scattering Lengths

- The bound scattering length depends on isotope and spin

- We need to take the average  $b_\ell b_j \rightarrow \overline{b_\ell b_j}$

- $j = \ell, \quad \overline{b_\ell b_j} = \overline{b^2}$

- $j \neq \ell, \quad \overline{b_\ell b_j} = \overline{b^2}$

- Finally,  $\overline{b_\ell b_j} = \overline{b^2} \delta_{j,\ell} + \overline{b^2} (1 - \delta_{j,\ell})$

- Coherent/Incoherent scattering length:

$$\overline{b_\ell b_j} = (\overline{b^2} - \overline{b^2}) \delta_{j,\ell} + \overline{b^2} = b_i^2 + b_c^2$$

# Scattering lengths

- **Coherent** scattering length

$$b_c = \bar{b}$$

- Correlations in scattering events from the **same** target  
(scale-length over which the incoming radiation is **coherent** in a QM sense)
- Simple average over isotopes and spins

# Scattering Lengths

- **Incoherent** scattering length

$$b_i^2 = (\overline{b^2} - \bar{b}^2) \delta_{j,\ell}$$

- Correlation of scattering events between ***different*** targets
- Variance of the scattering length over spin states and isotopes

# Cross-section

- Averaging over the scattering length

$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{1}{2\pi} \frac{k_f}{k_i} \int_{-\infty}^{\infty} e^{i\omega_f t} \sum_{\ell,j} \overline{b_\ell b_j} \left\langle e^{-iQ \cdot r_\ell(0)} e^{iQ \cdot r_j(t)} \right\rangle_{th}$$

we obtain

$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{1}{2\pi} \frac{k_f}{k_i} \int_{-\infty}^{\infty} e^{i\omega_f t} \sum_{\ell,j} (b_i^2 + b_c^2) \left\langle e^{-iQ \cdot r_\ell(0)} e^{iQ \cdot r_j(t)} \right\rangle_{th}$$

$S_S(Q, \omega)$   
  
 $S(Q, \omega)$

# Cross-section

- Using the dynamic structure factors, we can write the cross section as

$$\frac{d^2\sigma}{d\Omega d\omega} = N \frac{k_f}{k_i} [b_i^2 S_s(Q, \omega) + b_c^2 S(Q, \omega)]$$

- These functions encapsulate the target characteristics, or more precisely, the target response to a radiation of energy  $\omega$  and wavevector  $\vec{Q}$

# Structure Factors

- Self dynamic structure factor (incoherent)

$$S_S(Q, \omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\omega_f t} \left\langle \frac{1}{N} \sum_{\ell} e^{-iQ \cdot r_{\ell}(0)} e^{iQ \cdot r_{\ell}(t)} \right\rangle$$

- Full dynamic structure factor (coherent)

$$S(Q, \omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\omega_f t} \left\langle \frac{1}{N} \sum_{\ell, j} e^{-iQ \cdot r_{\ell}(0)} e^{iQ \cdot r_j(t)} \right\rangle$$

# Intermediate Scattering Functions

- Self dynamic structure factor

$$S_S(Q, \omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} F_S(Q, t)$$
$$F_S(Q, t) = \left\langle \frac{1}{N} \sum_{\ell} e^{-iQ \cdot r_{\ell}(0)} e^{iQ \cdot r_{\ell}(t)} \right\rangle$$

- Full dynamic structure factor

$$S(Q, \omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} F(Q, t)$$
$$F(Q, t) = \left\langle \frac{1}{N} \sum_{\ell, j} e^{-iQ \cdot r_j(0)} e^{iQ \cdot r_{\ell}(t)} \right\rangle$$

- These functions are the Fourier transform (wrt time) of the structure factors
- They contain information about the target and its time correlation.
- Examples:
  - Lattice vibrations (phonons)
  - Liquid/Gas diffusion



# Crystal Lattice

- Position in  $F(Q, t) \sim \left\langle \sum_{\ell, j} e^{-iQ \cdot x_j(0)} e^{iQ \cdot x_\ell(t)} \right\rangle$   
is the nuclear lattice position
- Model as 1D quantum harmonic oscillator
- position:  $x = \sqrt{\frac{\hbar}{2M\omega_0}} (a + a^\dagger)$
- Hamiltonian (phonons)

$$\mathcal{H} = \frac{p^2}{2M} + \frac{M\omega_0^2}{2} x^2 = \hbar\omega_0 \left( a^\dagger a + \frac{1}{2} \right)$$

# Crystal Lattice

- Assumption: 1D, 1 isotope, 1 spin state  
→ Self-intermediate structure function:

$$F_S(Q, t) = \langle e^{-iQ \cdot x(0)} e^{iQ \cdot x(t)} \rangle$$

- Note:  $[x(0), x(t)] \neq 0$  (but it's a number)
- Use BCH formula:  $e^A e^B = e^{A+B} e^{[A, B]} \dots$

$$F_S(Q, T) = \left\langle e^{-iQ \cdot [x(0) - x(t)]} e^{+\frac{1}{2} [Q \cdot x(0), Q \cdot x(t)]} \right\rangle$$

- Simplify using (Bloch) formula:

$$\left\langle e^{\alpha a + \beta a^\dagger} \right\rangle = e^{\langle (\alpha a + \beta a^\dagger)^2 \rangle}$$

- we get  $F_S(Q, t) = e^{-Q^2 \langle \Delta x^2 \rangle / 2} e^{+ \frac{1}{2} [Q \cdot x(0), Q \cdot x(t)]}$

- with

$$\langle \Delta x^2 \rangle = 2 \langle x^2 \rangle + 2 \langle x(0)x(t) \rangle - \langle [x(0), x(t)] \rangle$$

$$F_S(Q, t) = e^{-Q^2 \langle x^2 \rangle} e^{-Q^2 \langle x(0)x(t) \rangle}$$

- The crystal is usually in a thermal state.
- Calculate  $F(Q,t)$  for a number state and then take a thermal average over Boltzmann distribution

$$\langle n | x^2 | n \rangle = \frac{\hbar}{2M\omega_0} (2n + 1)$$

$$\langle n | x(0)x(t) | n \rangle = \frac{\hbar}{2M\omega_0} [2n \cos(\omega_0 t) + e^{i\omega_0 t}]$$

- Replace  $n \rightarrow \langle n \rangle$

# Phonon Expansion

- Low temperature  $\langle n \rangle \approx 0$

$$\langle x^2 \rangle = \frac{\hbar}{2M\omega_0} \quad \langle x(0)x(t) \rangle = \frac{\hbar}{2M\omega_0} e^{i\omega_0 t}$$

- Expand in series of  $\frac{\hbar^2 Q^2}{2M} / (\hbar\omega_0) = E_{kin} / E_{bind}$  and calculate the dynamic structure factor

$$S_S(Q, \omega) = \mathcal{F}(F(Q, t))$$

$$S_S(Q, \omega) \approx e^{-Q^2 \frac{\hbar Q^2}{2M\omega_0}} \left[ \delta(\omega) + \frac{\hbar Q^2}{2M\omega_0} \delta(\omega - \omega_0) + \frac{1}{2} \left( \frac{\hbar Q^2}{2M\omega_0} \right)^2 \delta(\omega - 2\omega_0) + \dots \right]$$

# Phonon Expansion

- Neutron/q.h.o. energy exchange

Zero-phonon = no excitation  
(elastic scattering)

one-phonon = 1 quantum of Energy

$$S_S(Q, \omega) \approx e^{-Q^2 \frac{\hbar Q^2}{2M\omega_0}} \left[ \delta(\omega) + \frac{\hbar Q^2}{2M\omega_0} \delta(\omega - \omega_0) + \frac{1}{2} \left( \frac{\hbar Q^2}{2M\omega_0} \right)^2 \delta(\omega - 2\omega_0) + \dots \right]$$

two-phonons = 2 quantum of Energy

n-phonons

# High Temperature

- We find a “classical” result, where

$$F_S^{cl} = \mathcal{F}[G_s^{cl}(x, t)]$$

and the space-time self correlation (classical) function  $G_s^{cl}(x, t)dx$  is the probability of finding the h.o. at  $x$ , at time  $t$ , if it was at the origin at time  $t=0$ .

$$F_z^{cl}(Q, T) = e^{-(k_b T Q^2 / M \omega_0^2)} [1 - \cos(\omega_0 t)]$$

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